

1774

RADER,**FISHMAN****& GRAUER**

PLLC

Worldwide Intellectual Property Matters • Patents • Trademarks
 Litigation • Copyrights • U.S. and Foreign Portfolio Management
 Computer and Internet Law • Trade Secrets • Unfair Competition

RECEIVED
 CENTRAL FAX CENTER

JUN 29 2004

To: Examiner Ferguson From: Ronald P. Kananen
 Fax: 703/872-9306 Pages: 25 + Coversheet

Phone:

Date:

6/29/04

Re:

cc:

Urgent For Review Please Comment Please Reply Please Recycle

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure under applicable laws. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return original message to us at the above address via U.S. Postal Service.

Thank you.

• Comments:

Per telephone request

DATE: December 22, 2003

Inventor: Koichiro Kishima et al

Application No.: 09/731,904

Title: Optical Recording Medium and a Method of Manufacturing the same

Documents Filed:

Appeal Brief Transmittal (in duplicate)

Fee Transmittal (in duplicate)

Appeal Brief (in triplicate)

Appendix A - Pending Claims

CHARGE \$330.00 TO DEPOSIT ACCOUNT 18-0013

Via: PTO DAILY RUN

Sender's Initials: RPK/sjm

Date filed in the USPTO: December 22, 2003

Please Date Stamp And Return To Our Courier

DUE DATE: December 22, 2003

ATTY DOCKET NO.: SON-2029

Inventor: Koichiro Kishima et al

Application No.: 09/731,904

Filing Date: December 8, 2000

Title: Optical Recording Medium and a Method of Manufacturing the same

Documents Filed:

Appeal Brief Transmittal (in duplicate)

Fee Transmittal (in duplicate)

Appeal Brief (in triplicate)

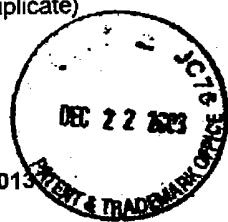
Appendix A - Pending Claims

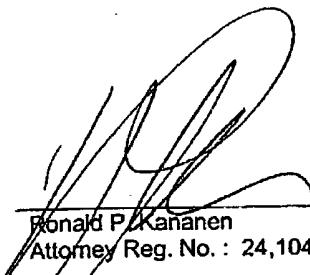
CHARGE \$330.00 TO DEPOSIT ACCOUNT 18-0013

Via: PTO DAILY RUN

Sender's Initials: RPK/sjm

Date filed in the USPTO: December 22, 2003

Please Date Stamp And Return To Our Courier

TRANSMITTAL OF APPEAL BRIEF		Docket No. SON-2029
In re Application of: Koichiro Kishima et al		
Application No. 09/731,904	Filing Date December 8, 2000	Examiner L.D. Ferguson
Group Art Unit 1774		
Invention: OPTICAL RECORDING MEDIUM AND A METHOD OF MANUFACTURING THE SAME		
<u>TO THE COMMISSIONER OF PATENTS:</u>		
Transmitted herewith in triplicate is the Appeal Brief in this application, with respect to the Notice of Appeal filed: <u>October 21, 2003</u>		
The fee for filing this Appeal Brief is <u>330.00</u>		
<input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity		
<input type="checkbox"/> A check in the amount of _____ is enclosed.		
<input checked="" type="checkbox"/> Charge the amount of the fee to Deposit Account No. <u>18-0013</u> This sheet is submitted in duplicate.		
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.		
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees that may be required or credit any overpayment to Deposit Account No. <u>18-0013</u> This sheet is submitted in duplicate.		
 Ronald P. Kananen Attorney Reg. No. : 24,104		
Dated: <u>December 22, 2003</u>		
RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W., Suite 501 Washington, DC 20036 (202) 955-3750 Customer No. 23353		

PTO/SB/17 (08-03)

Approved for use through 07/31/2005. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 330.00)

Complete if Known

Application Number	09/731,904
Filing Date	December 8, 2000
First Named Inventor	Koichiro Kishima
Examiner Name	L.D. Ferguson
Art Unit	1774
Attorney Docket No.	SON-2029

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None

 Deposit Account:

Deposit Account Number 18-0013

Deposit Account Name Rader, Fishman & Grauer PLLC

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments

 Charge any additional fee(s) during the pendency of this application

 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	85	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	330.00
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

SUBTOTAL (1) (\$ 0.00)

		Extra Claims	Fee from below	Fee Paid
Total Claims	4	** =		
Independent Claims	1	** =		
Multiple Dependent				

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue Independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

** or number previously paid, if greater. For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 330.00)

(Complete if applicable)					
Name (Print/Type)	Ronald P. Kanarek	Registration No. (Attorney/Agent)	24,104	Telephone	(202) 955-3750
Signature				Date	December 22, 2003

DC133409.DOC